

Re: Monitoring Review and /or AML Compliance Consulting Services

Your firm or your CPA friends are in need of monitoring review and advisory or consulting of AML compliance services?

According to the Alert Issue 26 (October 2019) of AICPA, the AML monitoring programme is going to be introduced within the Institute's practice review programme. Our services include the review of firm's internal quality control system and monitoring review. We can offer an attractive package combining both the monitoring review and AML compliance that need to be covered under the practice review.

Should your firm or CPA friends would like to consider having an external and independent monitoring review and/or consulting of AML compliance and solutions, please feel free to contact us.

Service Package

| Option | Services | Price |
|--------|---|------------|
| A | Monitoring Review | HK\$30,000 |
| B | AML Advisory* (10 hours) | HK\$30,000 |
| A+B | Monitoring Review + AML Advisory (10 hours) | HK\$40,000 |

*subject to client's requirements and preference, can include policies and procedures review, customer due diligence review, customer risk assessment, sanctions screening and staff training etc.